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PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95 TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	09/888,212	
	Filing Date	June 22, 2001	
	First Named Inventor	Kwok Hung Si	
	Group Art Unit Number	2182	
	Examiner Name	Not Yet Known	
Total Number of Pages in This Submission	9	Attorney Docket Number	20880-05629

ENCLOSURES (check all that apply)

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MAY 07 2002

Technology Center 2100

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|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)
<input type="checkbox"/> Check Enclosed
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<input type="checkbox"/> Response to Notice to File Missing Parts and Change of Small Entity Status Under 37 CFR 1.28(c)
<input type="checkbox"/> Assignment & Recordation Cover Sheet
<input type="checkbox"/> Declaration
<input type="checkbox"/> Power of Attorney
<input type="checkbox"/> Application Data Sheet
<input type="checkbox"/> Information Disclosure Statement & PTO-1449
<input type="checkbox"/> Copies of IDS Cited References
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<input type="checkbox"/> Status Request
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<input type="checkbox"/> Letter to Chief Draftsperson
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[] Sheet(s) of Figure(s) []
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Copy of Updated Filing Receipt
<input checked="" type="checkbox"/> Copy of Application Data Sheet
<input type="checkbox"/>
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|---|---|

REMARKS:

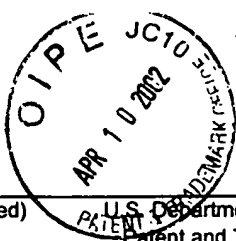
SIGNATURE OF ATTORNEY OR AGENT

Signature:		
Attorney/Reg. No.:	John T. McNelis/Reg. No. 87,186	Dated: 26 March 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:		
Typed or Printed Name:	John T. McNelis	Dated: 26 March 2002
Express Mail Mailing Number (optional):		



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PTO/SB/17 (10-01)(modified)

OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)
Rev. 10/2001

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) =

(\$)

Complete if Known

Application Number	09/888,212
Filing Date	June 22, 2001
First Named Inventor	Kwok Hung Si
Group Art Unit	2182
Examiner Name	Not Yet Known
Attorney Docket Number	20880-05629

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.¹
- ☐ Applicant claims small entity status See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$85	Surcharge - late filing fee or oath	
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month ¹	
116/\$400	216/\$200	Extension for response within second month ¹	
117/\$920	217/\$460	Extension for response within third month ¹	
118/\$1,440	218/\$720	Extension for response within fourth month ¹	
128/\$1,960	228/\$980	Extension for response within fifth month ¹	
119/\$320	219/\$160	Notice of Appeal	
141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application	
142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)	
143/\$460	243/\$230	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
126/\$180	126/\$180	Submission of Information Disclosure Statement	
179/\$740	279/\$370	Request for Continued Examination (RCE)	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):		Difference in Filing Fee from Small to Large Entity	
Other fee (specify):			
SUBTOTAL (3)			(\$)

FEE CALCULATION (fees effective 10/01/2001)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$740	201/\$370	Utility Filing	
106/\$330	206/\$165	Design Filing	
108/\$740	208/\$370	Reissue	
114/\$160	214/\$80	Provisional Filing	
SUBTOTAL (1)			(\$).00

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$84	202/\$42	Independent claims in excess of 3
104/\$280	204/\$140	Multiple dependent claim
109/\$84	209/\$42	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original Patent

(Col. 1)		(Col. 2)		(Col. 3)		Fee	Fee Due
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**		
TOTAL		minus*	20 or 0	=		x	
INDEP		minus*	3 or 0	=		x	
[] First presentation of multiple dependent claim							

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$).00

SUBMITTED BY

Typed or Printed Name John T. McNelis

Signature

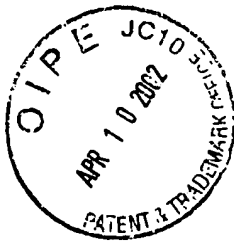
Complete (if applicable)

Reg. Number 37,186

Date

26 March 2002

¹ Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby
20880/05629/DOCS/1256468.1



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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT(S): Kwok Hung Si and Tibet Mimaroglu
APPLICATION NO.: 09/888,212
FILING DATE: June 22, 2001
TITLE: Universal Serializer/Deserializer
EXAMINER: Not Yet Known
GROUP ART UNIT: 2182
ATTY. DKT. NO.: 20880-05629

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CERTIFICATE OF MAILING

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Dated: 26 March 2002

By: John T. McNelis, Reg. No.: 37,186

COMMISSIONER FOR PATENTS
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WASHINGTON, DC. 20231

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a copy of the Updated Filing Receipt. It contains the following error:

1. The above-referenced application claims the benefit of 60/250,781 filed on December 1, 2000, as evidenced by the Application Data Sheet, a copy of which is enclosed. This Filing Receipt should be corrected to indicate this missing Continuing Data.

Please issue a corrected Filing Receipt rectifying this error.

Respectfully submitted,

KWOK HUNG SI & TIBET MIMAROGLU

Dated: 26 March 2002

By: 

John T. McNelis, Reg. No.: 37,186

Fenwick & West LLP

Two Palo Alto Square

Palo Alto, CA 94306

Tel.: (650) 858-7133

Fax.: (650) 494-1417



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/888,212	06/22/2001	2182	870	20880-05629	7	1	1

00758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306

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CONFIRMATION NO. 8404

UPDATED FILING RECEIPT



OC00000007547760

FENWICK & WEST LLP

Date Mailed: 02/27/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kwok Hung Si, Sunnyvale, CA;
Tibet Mimaroglu, Sunnyvale, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/213,745 06/22/2000
AND CLAIMS BENEFIT OF 60/251,468 12/04/2000
AND CLAIMS BENEFIT OF 60/250,731 12/01/2000

60/250,731

Foreign Applications

If Required, Foreign Filing License Granted 08/15/2001

Projected Publication Date: 06/06/2002

Non-Publication Request: No

Early Publication Request: No

Title

Universal serializer/deserializer

Preliminary Class

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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Bib Data Sheet

CONFIRMATION NO. 8404

SERIAL NUMBER 09/888,212	FILING DATE 06/22/2001 RULE	CLASS 710	GROUP ART UNIT 2182	ATTORNEY DOCKET NO. 20880-05629
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APPLICANTS
 Kwok Hung Si, Sunnyvale, CA;
 Tibet Mimaroglu, Sunnyvale, CA; *[Signature]*

**** CONTINUING DATA *******
 THIS APPLN CLAIMS BENEFIT OF 60/213,745 06/22/2000
 AND CLAIMS BENEFIT OF 60/251,468 12/04/2000
 AND CLAIMS BENEFIT OF 60/250,781 12/01/2000

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED
 ** 08/15/2001

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 7	TOTAL CLAIMS 1	INDEPENDENT CLAIMS 1
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature <i>[Signature]</i> Initials				

ADDRESS
00758

TITLE
Universal serializer/deserializer

FILING FEE RECEIVED 870	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit